

Introduction

As high-efficiency ultra-bright white LEDs come down in cost, they are approaching cost parity with conventional mercury vapour, HID quartz metal halide and high/low pressure sodium lighting on a cost per lumen basis. They are becoming viable replacements in industrial and commercial lighting applications. However, there are significant differences between conventional lighting sources and LEDs in terms of voltage and current operating requirements. In particular, LEDs require a constant current source from a low DC voltage source, but they must also operate from the AC mains. Just as conventional lighting sources require a ballast, LED lighting sources have an analogous circuit. This Application Note discusses techniques for powering LEDs directly from the AC mains, not only to develop the requisite voltage and current, but also to deliver power from the AC mains with near unity power factor while using off-the-shelf constant frequency PWM controllers. The major difference in the control circuitry between a conventional DC/DC converter and the LED ballast is that the output current, rather than the output voltage, is the controlled parameter.

Requirements

To achieve light intensity comparable to conventional commercial and industrial lighting, multiple LEDs must be used. The LEDs may be connected in parallel or series, or a combination of both. Depending on the light intensity required, the number of required LEDs could range from a few to hundreds. Each LED may require, depending on its characteristics, between 300mA and 1000mA of DC current at 3V to 4V to provide up to 175 lumens of light output¹. A typical high pressure sodium street lamp bulb consumes 150W and produces about 15,000 lumens². Using presently available LEDs, an equivalent intensity LED “bulb” would require up to 150 LEDs and consume somewhat more power.

We desire a simple low cost power supply (LED ballast) that converts the AC mains input to a constant current DC source. Furthermore, the power must be delivered from the source with near unity power factor*.

* Power factor is the ratio of real power to apparent power ($W/V \times A$, where W = watts, V = RMS voltage, A = RMS current). Unity power factor implies that the load has no reactive (inductive or capacitive) component and appears purely resistive.

The LED Ballast

Fortunately, there are many power supply topologies suitable for this application. Virtually any topology having a series inductor is suitable. This would include Boost, Buck-Boost, SEPIC, CUK, and Flyback converters, to name a few. The only requirements being that the inductor current must reduce to zero during a portion of the switching cycle, i.e., the converter must operate in either discontinuous conduction mode (DCM) or critical conduction mode (CrCM), and the converter must be operated with a constant On-Time control. The reason for the restrictions is to achieve unity power factor from the AC mains. When operated as described, the peak (and average) inductor current will track the input voltage waveform. The input current will be sinusoidal and in-phase with the AC input voltage.

From Faraday’s Law and the definition of inductance, we have Equation 1:

$$V = L \frac{di}{dt} \quad (\text{EQ. 1})$$

Since the switching period of the converter is very short compared to the AC line frequency, we can assume the voltage applied to the inductor is constant during a single switching cycle. If V and L are constant, then ΔI and ΔT may be substituted for di and dt , respectively. Furthermore, since this is a constant On-Time control law, T_{ON} may be substituted for ΔT . Rearranging and solving for ΔI yields Equation 2:

$$\Delta I = \frac{V}{L} \cdot T_{ON} \quad (\text{EQ. 2})$$

Since T_{ON} and L are constant, ΔI varies in proportion to the applied voltage, V . The AC input voltage is applied to the inductor, and as it varies, ΔI varies in proportion. Since the converter is operated in either DCM or CrCM, the inductor current always starts each switching cycle at zero current. Therefore, ΔI is the peak inductor current. Each switching cycle of the converter generates a triangular inductor current waveform that conforms to the envelope of the rectified AC input voltage waveform.

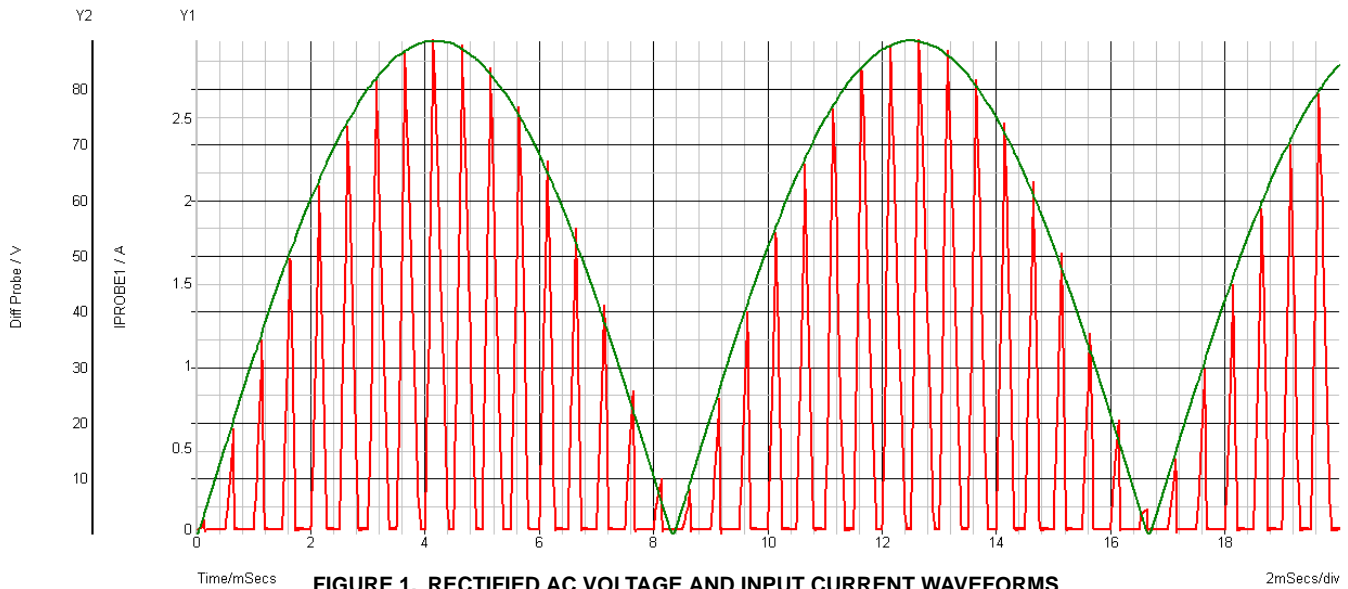


FIGURE 1. RECTIFIED AC VOLTAGE AND INPUT CURRENT WAVEFORMS

Figure 1 depicts the input voltage and current waveforms for a converter operating in DCM with constant On-Time control. The switching frequency of the converter has been reduced to 2kHz to illustrate the input current waveform on a time scale appropriate for viewing both waveforms simultaneously.

One consequence to achieving near unity power factor is the energy delivered from the AC mains is not constant, but varies with the sinusoidal AC input voltage. The LED load, however, requires a constant DC current and voltage. Some LED driver solutions address this problem by using a second DC/DC converter to regulate the current. This is entirely unnecessary. A single stage converter is fully capable of meeting the requirements. The only penalty is a small amount of rectified line frequency ripple on the output voltage of the converter, the magnitude of which is inversely proportional to the value of output capacitance. This topic will be further discussed later.

We have established that the converter must be operated using a constant On-Time control law, but how is the current through the LEDs regulated to achieve the desired intensity and/or color temperature? The answer is the On-time is not truly constant.

If the On-time is modulated slowly, the On-time over an AC half-cycle will be virtually constant, but can still be slowly changed over time to regulate the current through the LEDs. This requires that the LED current control loop have a bandwidth significantly less than the frequency of the rectified AC input, or about 20Hz to 30Hz.

Three of the many converter topologies suitable for LED driver applications will be discussed in detail. The methods discussed are easily adapted to other topologies as the actual control circuitry is not topology dependent. One control circuit can be used for all single stage LED driver topologies.

The Boost Converter

The simplest LED ballast is based on a conventional boost converter, depicted in Figure 2.

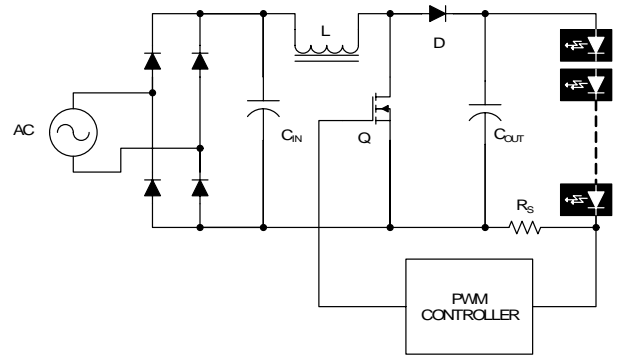


FIGURE 2. BOOST CONVERTER LED BALLAST

The boost converter is useful for driving a large series string of LEDs. The only requirement being that the voltage applied to the LEDs must be higher than the peak of the AC input voltage. A boost converter cannot produce an output voltage lower than its input. For example, if the input voltage is the nominal 120VAC common in North America, it has an operating range of 90VAC to 140VAC and a maximum peak voltage of 198V ($\sqrt{2} \times 140$). The LED string must have a sufficient quantity of LEDs in series such that the voltage drop across the string is greater than the peak voltage of 198V. A typical forward voltage drop for a white LED is 3.5V, suggesting a minimum string of 58 LEDs. Once variation of forward voltage drop with temperature and other factors is taken into account, the actual quantity of LEDs required may be somewhat higher. If too few LEDs are used, the converter will not be able to regulate the LED current.

The critical components of the boost converter are the inductor, L, the primary power switch, Q, the rectifier D, and

the output capacitor, C_{OUT} . Each of these components must be selected for the current and voltage stress experienced in the application.

The inductor must be selected for both peak current and RMS current rating to avoid saturation and excessive power dissipation.

The voltage and current waveforms for a boost converter operating in DCM are illustrated in Figure 3.

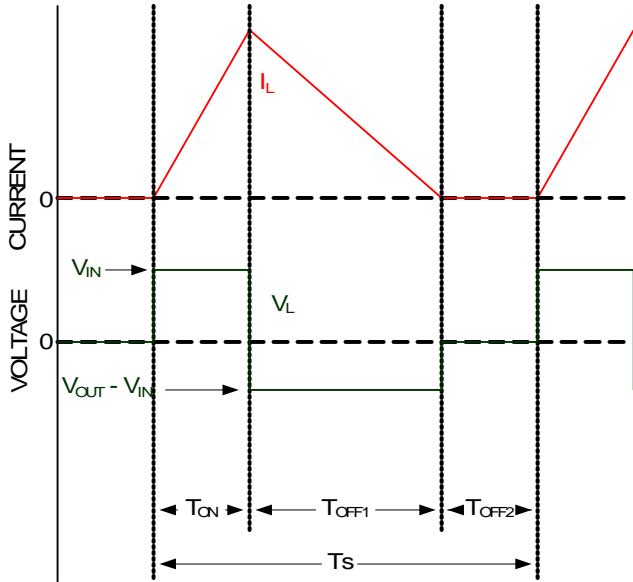


FIGURE 3. BOOST WAVEFORMS

The switching period T_s is divided into three subintervals known as T_{ON} , T_{OFF1} , and T_{OFF2} . T_{ON} is the time period that switch Q is conducting, also known as the On-time. T_{OFF1} is the time period during which the inductor current ramps down to zero after switch Q is turned off, and T_{OFF2} is the remainder of the switching period where the inductor current is zero.

The peak inductor current can be estimated from Equation 3.

$$I_{pk} = \frac{\pi}{2} \sqrt{\frac{2(V_{OUT} - V_{IN})T_s I_{OUT}}{L}} \quad (EQ. 3)$$

where V_{OUT} is the output voltage, V_{IN} is the peak input voltage, T_s is the switching period, I_{OUT} is the output current, and L is the boost inductor value. Equation 3 assumes the inductor current remains discontinuous (DCM operation). The power switch, Q, and diode, D, are treated as ideal elements. The RMS current through the inductor can be calculated from Equations 4 through 6.

$$I_{rms} = \frac{2}{\pi} I_{pk} \sqrt{\frac{T_{ON} + T_{OFF1}}{3T_s}} \quad (EQ. 4)$$

where:

$$T_{ON} = \frac{\sqrt{2T_s I_{OUT} L (V_{OUT} - \bar{V}_{IN})}}{\bar{V}_{IN}} \quad (EQ. 5)$$

and:

$$T_{OFF1} = \sqrt{\frac{2T_s I_{OUT} L}{V_{OUT} - \bar{V}_{IN}}} \quad (EQ. 6)$$

where \bar{V}_{IN} is the average rectified input voltage. Equations 5 and 6 represent the average values of T_{ON} and T_{OFF1} , respectively. While T_{ON} is essentially constant during an AC half-cycle, T_{OFF1} will vary considerably over the same time period. The instantaneous value of T_{OFF1} , $T_{OFF1'}$, may be calculated from Equation 7.

$$T_{OFF1'} = \frac{V_{IN} \cdot T_{ON}}{V_{OUT} - V_{IN}} \quad (EQ. 7)$$

The inductor value must be correctly sized so that the converter remains in DCM operation over all operating conditions. This criteria can be met provided the combined duration of T_{ON} and T_{OFF1} are less than the switching period, T_s . Summing Equations 5 and 6 and setting the result to be less than T_s yields Equation 8.

$$L < \frac{T_s (V_{OUT} - V_{IN})}{2I_{OUT}} \frac{V_{IN}^2}{V_{OUT}^2} \quad (EQ. 8)$$

The value of inductance determined by Equation 8 is the maximum allowed inductance and must be calculated using the minimum output voltage, the maximum instantaneous input voltage, and maximum output current over a complete AC half-cycle.

The RMS current through switch Q is shown in Equation 9:

$$I_{Q(RMS)} = \frac{2}{\pi} I_{pk} \sqrt{\frac{T_{ON}}{3T_s}} \quad (EQ. 9)$$

The factor of $2/\pi$ averages the RMS value over a complete AC half-cycle. The voltage ratings of the power switch, Q, diode D, and output capacitor, C_{OUT} , must be at least as high as the converter output voltage plus allowance for transients and design margin. The peak currents through Q and D are the same as was obtained for the inductor. The average current through diode D is the output current I_{OUT} . The ripple current rating for C_{OUT} is an involved calculation. There is a high frequency component at the switching frequency, a low frequency component at the rectified AC frequency, and a DC component due to the load. An estimate may be calculated using Equation 10.

$$I_{RMS(C_{OUT})} \approx \sqrt{\frac{T_{OFF1}}{T_s} \left(\frac{\Delta I_L^2}{3} - I_{OUT} \Delta I_L \right) + I_{OUT}^2} \quad (EQ. 10)$$

where:

$$\Delta I_L = \frac{V_{IN}}{L} T_{ON} = \frac{V_{OUT} - V_{IN}}{L} T_{OFF1} \quad (\text{EQ. 11})$$

Evaluating ΔI_L at the maximum instantaneous input voltage will result in a conservative estimate of the ripple current. Evaluating ΔI_L at the average or RMS input voltage will somewhat underestimate the ripple current.

The input capacitor, C_{IN} , must be sufficiently small so that it tracks the (unfiltered) rectified AC voltage. It must completely charge and discharged in-phase with the rectified AC input during each half-cycle. If this requirement is not met, the input current waveform will be distorted and power factor quality will be compromised.

The boost topology provides better power factor performance when operated in critical conduction mode (CrCM) rather than discontinuous conduction mode. Since the inductor current ramps from I_{pk} to zero in proportion to the difference between the input voltage and the output voltage, the average inductor current does not track the input voltage as well. This behavior becomes obvious if the output voltage is nearly equal to the peak of the AC input voltage. The inductor current ramps down more slowly as the instantaneous difference between the input and output voltage decreases. As the output voltage is further increased above the peak input voltage, the distortion is reduced. Figure 4 shows this effect. Operating in CrCM eliminates the distortion because there is no T_{OFF2} period.

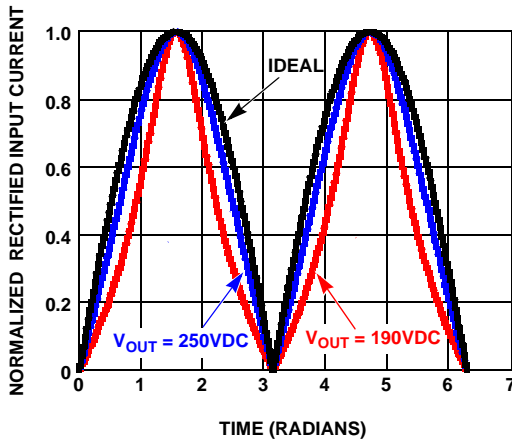


FIGURE 4. RECTIFIED INPUT CURRENT vs V_{IN} AND V_{OUT} . $V_{IN} = 120V_{RMS}$

The SEPIC Converter

The SEPIC converter is a more general purpose LED driver than the boost converter, as it can produce output voltages lower, equal to, or greater than the input voltage. Figure 5 shows the SEPIC converter. It requires more components and has a higher material cost than the boost converter. The SEPIC converter is capable of driving LED loads from just a few to very many LEDs, limited only by the voltage rating of the components.

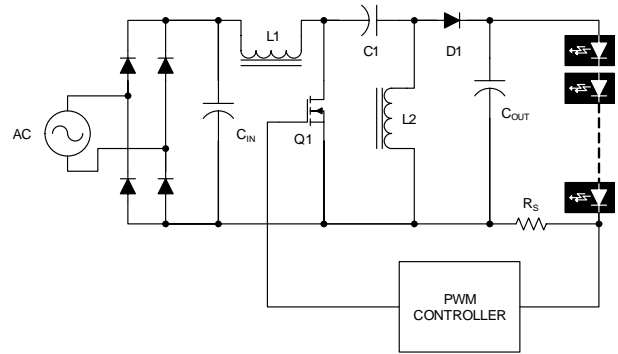


FIGURE 5. SEPIC CONVERTER LED BALLAST

The SEPIC converter is more complicated than the boost converter, and therefore requires some discussion of its operation.

Referring to Figure 5, components L1 and L2 cannot have a steady state DC voltage impressed across them, or saturation would occur. Therefore, the average voltage across each inductor must be zero. This result implies the voltage across C1 must be equal to the rectified AC input, V_{IN} . Likewise, the DC current through C1 must be zero for steady state operation. Since the DC current through C1 must be zero, the output current, I_{OUT} , can only result from current flowing in L2. Therefore, the average current through L2 must be equal to the output current, I_{OUT} . Therefore:

$$\bar{V}_{L1} = 0 V_{DC} \quad (\text{EQ. 12})$$

$$\bar{V}_{L2} = 0 V_{DC} \quad (\text{EQ. 13})$$

$$\bar{I}_{C1} = 0 A_{DC} \quad (\text{EQ. 14})$$

$$\bar{V}_{C1} = V_{IN} V_{DC} \quad (\text{EQ. 15})$$

In DCM operation, there are three time periods in each switching cycle designated as T_{ON} , T_{OFF1} , and T_{OFF2} corresponding to the state of the inductor currents. In the SEPIC topology, the inductors are considered to be discontinuous when the sum of their currents is zero rather than when either inductor has zero current. This occurs when the voltages across the inductors collapse to zero.

During T_{ON} , the switch, Q1, is closed and the inductor currents ramp linearly to I_{pk} . (I_{pk} will be different for L1 and L2 unless they have equal inductance.) T_{OFF1} starts when switch Q1 opens and the inductor currents decrease in magnitude. T_{OFF2} begins when the inductor currents sum to zero and ends when the next switching cycle begins.

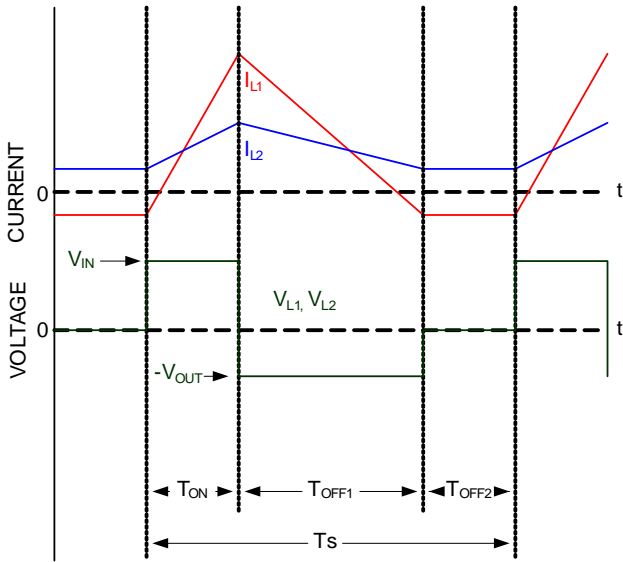


FIGURE 6. CURRENT WAVEFORMS FOR L1 AND L2 ($L_2 > L_1$)

During T_{ON} , the main switch is closed. The input voltage, V_{IN} , is applied across L1. Since C1 has a steady state voltage equal to V_{IN} , L2 also has V_{IN} applied across it during T_{ON} . Diode, D1, is reversed biased and blocking. The load current, I_{OUT} , is entirely supplied by the output capacitor C_{OUT} .

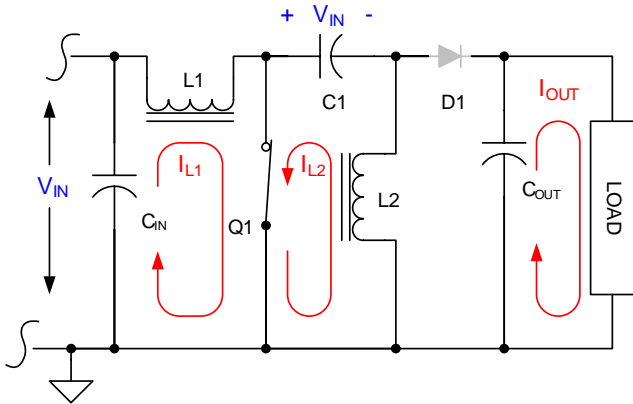


FIGURE 7. SEPIC CONVERTER DURING T_{ON}

It should be noted that C1 is sufficiently large that the AC currents through it produce a negligible voltage change and the voltage across it remains essentially equal to V_{IN} . During T_{ON} , when Q1 is conducting, both inductors have V_{IN} applied to them.

$$V_{L1(T_{ON})} = L_1 \frac{\Delta I_{L1}}{T_{ON}} = V_{IN} \quad (\text{EQ. 16})$$

$$V_{L2(T_{ON})} = L_2 \frac{\Delta I_{L2}}{T_{ON}} = V_{IN} \quad (\text{EQ. 17})$$

Since the V_{IN} is applied across L1 and L2 for the same period of time, each inductor experiences the same flux-linkage (volt-second) change.

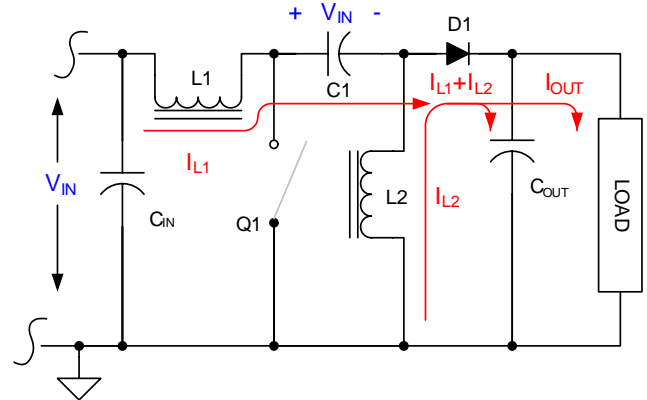


FIGURE 8. SEPIC CONVERTER DURING T_{OFF1}

During T_{OFF1} , the voltage across each inductor is:

$$V_{L1(T_{OFF1})} = V_{OUT} - V_{IN} + V_{C1} = V_{OUT} \quad (\text{EQ. 18})$$

$$V_{L2(T_{OFF1})} = V_{OUT} \quad (\text{EQ. 19})$$

V_{OUT} appears across both inductors during T_{OFF1} so their flux-linkage (volt-second) change is again equal. Since the flux-linkage (volt-second) change for both inductors is identical during both T_{ON} and T_{OFF1} , the inductor currents will ramp and decay at the same rate and become discontinuous at the same time.

Although the inductor currents may not be zero during T_{OFF2} , there is no inductor current flowing to the output. I_{OUT} is supplied entirely by C_{OUT} .

As noted earlier, the output current I_{OUT} , is equal to the average current in inductor, L2. However, since current only flows to the output during T_{OFF1} , the output current is also the sum of the average inductor currents during T_{OFF1} . The output current, I_{OUT} , can be expressed as Equation 20:

$$I_{OUT} = \frac{V_{OUT} T_{OFF1}^2}{2T_S} \left(\frac{1}{L_1} + \frac{1}{L_2} \right) \quad (\text{EQ. 20})$$

Solving for T_{OFF1} yields Equation 21:

$$T_{OFF1} = \sqrt{\frac{2T_S I_{OUT}}{\left(\frac{1}{L_1} + \frac{1}{L_2} \right) V_{OUT}}} \quad (\text{EQ. 21})$$

It should be noted that the value of T_{OFF1} in Equation 21 is the average value during an AC half-cycle.

Since the inductors are operating in steady state, ΔI during T_{ON} and T_{OFF1} must be equal and opposite in magnitude for

each inductor. Equating ΔI during periods T_{ON} and T_{OFF1} yields Equation 22:

$$T_{ON} = \frac{V_{OUT}}{\bar{V}_{IN}} T_{OFF1} \quad (\text{EQ. 22})$$

where \bar{V}_{IN} is the average input voltage since T_{ON} is virtually constant over an AC half-cycle (to achieve high power factor). Since the switching frequency, T_S , is constant, T_{OFF2} can be calculated from Equations 21 and 22, yielding Equation 23.

$$T_{OFF2} = T_S - T_{ON} - T_{OFF1} \quad (\text{EQ. 23})$$

When selecting the values of inductors L_1 and L_2 , it is important to realize that they must operate in the discontinuous mode to maintain high power factor. Discontinuous operation occurs when $T_{ON} + T_{OFF1} \leq T_S$. Using this information combined with Equations 21 and 22 shows that the parallel combination of L_1 and L_2 must be less than the value indicated in Equation 24 for discontinuous operation to occur.

$$\frac{L_1 L_2}{L_1 + L_2} \leq \frac{\left(\frac{\bar{V}_{IN}}{V_{IN} + V_{OUT}} \right)^2 T_S V_{OUT}}{2 I_{OUT}} \quad (\text{EQ. 24})$$

where \bar{V}_{IN} is the average minimum input voltage, V_{IN} is the instantaneous maximum value of the minimum input voltage, and V_{OUT} is the minimum output voltage.

Referring to Figure 6, the DC offset current that flows is the result of maintaining charge balance on the series capacitor, C_1 . By summing the charge into the capacitor during the three intervals T_{ON} , T_{OFF1} , and T_{OFF2} , the value of the DC offset current, I_{DC} can be calculated. The average current \bar{I}_{C1} through the series capacitor, C_1 , must be zero.

$$\bar{I}_{C1} = 0 = \frac{V_{OUT} T_{OFF1}^2}{2 L_1 T_S} - \frac{V_{IN} T_{ON}^2}{2 L_2 T_S} + I_{DC} \quad (\text{EQ. 25})$$

Substituting for T_{ON} and T_{OFF1} using Equations 21 and 22, and solving for I_{DC} yields Equation 26:

$$I_{DC} = \frac{L_1 I_{OUT}}{L_1 + L_2} \left(\frac{V_{IN} V_{OUT}}{\bar{V}_{IN}^2} - \frac{L_2}{L_1} \right) \quad (\text{EQ. 26})$$

where \bar{V}_{IN} is the average minimum input voltage, V_{IN} is the instantaneous maximum value of the minimum input voltage, and V_{OUT} is the minimum output voltage. It should be noted that V_{IN} is not the instantaneous input voltage, but the input voltage corresponding to the peak of the AC input. This equation was derived using values averaged over an AC half-cycle, not from an individual switching cycle. The average value of the inductor currents during T_{OFF1} does equal I_{OUT} when averaged over an AC half-cycle, but this is generally not true for an individual switching cycle.

As can be seen from Equation 26, the magnitude and polarity of I_{DC} is dependent on the ratio of L_2 to L_1 and the product of V_{OUT} and V_{IN} to \bar{V}_{IN} . Equation 26 becomes invalid when V_{IN} falls below the voltage where maximum duty cycle would occur.

As long as Equation 24 is satisfied, the inductors will operate in discontinuous mode. Within this limitation, the determination of inductance values for L_1 and L_2 is somewhat arbitrary. However, there is an advantage in keeping I_{DC} positive over a complete AC half-cycle. Otherwise, if I_{DC} is negative, current will flow into the input capacitor (C_{IN} , Figures 5, 7 and 8). Since C_{IN} is deliberately a low value to accurately track the rectified AC line voltage, its voltage can change significantly due to I_{DC} . This behavior can impair power factor performance.

Setting Equation 26 equal to zero and solving for the ratio of L_2 to L_1 , and substituting the result into Equation 24 yields upper limit values for L_1 and L_2 . Examining Equation 26 further, it is apparent that if $L_1 \gg L_2$, I_{DC} will be positive for most practical operating conditions.

As previously determined, the average value of current in L_2 is the output current, I_{OUT} . The average current through L_1 is shown in Equation 27:

$$\bar{I}_{L1} = \frac{V_{OUT}}{\bar{V}_{IN}} I_{OUT} \quad (\text{EQ. 27})$$

where \bar{V}_{IN} is the average input voltage during an AC half-cycle. The change in inductor currents is shown in Equations 27 and 28:

$$\Delta I_{L1} = \frac{V_{IN}}{L_1} T_{ON} = \frac{V_{OUT}}{L_1} T_{OFF1} \quad (\text{EQ. 28})$$

$$\Delta I_{L2} = \frac{V_{IN}}{L_2} T_{ON} = \frac{V_{OUT}}{L_2} T_{OFF1} \quad (\text{EQ. 29})$$

The peak value of inductor current is the change in inductor current, ΔI_{Lx} plus the offset DC current, I_{DC} .

$$I_{PK(L1)} = \frac{V_{IN}}{L_1} T_{ON} + I_{DC} = \frac{V_{OUT}}{L_1} T_{OFF1} + I_{DC} \quad (\text{EQ. 30})$$

$$I_{PK(L2)} = \frac{V_{IN}}{L_2} T_{ON} - I_{DC} = \frac{V_{OUT}}{L_2} T_{OFF1} - I_{DC} \quad (\text{EQ. 31})$$

where V_{IN} is the maximum instantaneous input voltage, V_{OUT} is the minimum output voltage, and I_{DC} is determined

from Equation 26. The RMS currents for each inductor are shown in Equations 32 and 33:

$$I_{RMS(L1)} = \sqrt{\frac{T_{ON} + T_{OFF1}}{T_S} \left(\frac{\Delta I_{L1}^2}{3} + I_{DC} \Delta I_{L1} + I_{DC}^2 \right) + I_{DC}^2} \quad (\text{EQ. 32})$$

$$I_{RMS(L2)} = \sqrt{\frac{T_{ON} + T_{OFF1}}{T_S} \left(\frac{\Delta I_{L2}^2}{3} - I_{DC} \Delta I_{L2} + I_{DC}^2 \right) + I_{DC}^2} \quad (\text{EQ. 33})$$

The ripple current through the series capacitor, C1, may be calculated from the RMS currents during each of the portion of the switching cycle, T_{ON}, T_{OFF1}, and T_{OFF2}.

$$I_{RMS(C1)} = \frac{2}{\pi} \sqrt{I_{C1(RMS)T_{ON}}^2 + I_{C1(RMS)T_{OFF1}}^2 + I_{C1(RMS)T_{OFF2}}^2} \quad (\text{EQ. 34})$$

where:

$$I_{C1(RMS)T_{ON}} = \sqrt{\frac{T_{ON}}{T_S} \left(\frac{\Delta I_{L2}^2}{3} - I_{DC} \Delta I_{L2} + I_{DC}^2 \right)}$$

$$I_{C1(RMS)T_{OFF1}} = \sqrt{\frac{T_{OFF1}}{T_S} \left(\frac{\Delta I_{L1}^2}{3} + I_{DC} \Delta I_{L1} + I_{DC}^2 \right)}$$

$$I_{C1(RMS)T_{OFF2}} = \sqrt{\frac{T_{OFF2}}{T_S} I_{DC}^2}$$

The individual RMS values must be evaluated using the maximum instantaneous input voltage, which occurs at the peak of the rectified input AC voltage. The factor of 2/π averages the RMS value over a complete AC half-cycle.

The RMS current through switch, Q1, is shown in Equation 35:

$$I_{RMS(Q1)} = \frac{2}{\pi} (\Delta I_{L1} + \Delta I_{L2}) \sqrt{\frac{T_{ON}}{3T_S}} \quad (\text{EQ. 35})$$

where ΔI_{L1} and ΔI_{L2} are evaluated at the maximum instantaneous input voltage. Ignoring voltage transients, the voltage stress on Q1 is equal to V_{IN} plus V_{OUT} plus V_{D1}.

The ripple current rating for C_{OUT} is an involved calculation. There is a high frequency component at the switching frequency, a low frequency component at the rectified AC frequency, and a DC load current component. An estimate of the RMS ripple current rating for C_{OUT} can be approximated from Equation 36.

$$I_{RMS(C_{OUT})} \approx \sqrt{\frac{T_{OFF1}}{T_S} \left(\frac{(\Delta I_{L1} + \Delta I_{L2})^2}{3} - (\Delta I_{L1} + \Delta I_{L2}) I_{OUT} \right) + I_{OUT}^2} \quad (\text{EQ. 36})$$

where ΔI_{L1} and ΔI_{L2} are evaluated at the maximum instantaneous input voltage. Evaluating ΔI_L at the maximum instantaneous input voltage will result in a conservative estimate of the ripple current. Evaluating ΔI_L at the average or RMS input voltage will somewhat underestimate the ripple current.

The average current through diode D1 is the output current I_{OUT}. Ignoring transients, the voltage stress on D1 is equal to V_{IN} plus V_{OUT}.

The input capacitor, C_{IN}, must be sufficiently small so that it tracks the (unfiltered) rectified AC voltage. It must completely charged and discharged in phase with the rectified AC input during each half-cycle. If this requirement is not met, the input current waveform will be distorted and power factor quality will be compromised. Additionally, the value of C1 must on the same order of magnitude as C_{IN}. In general, the coupling capacitor, C1, needs to be large enough to handle the ripple current, but if it is too large in comparison to C_{IN}, its voltage will not track the input voltage well, increasing harmonic distortion, and especially zero-crossing distortion. Zero-crossing distortion is evidenced by discontinuity in the input AC current waveform when the AC voltage crosses 0V.

The Flyback Converter

If the difference between the average input voltage and the output voltage is large, the SEPIC topology discussed earlier may not be appropriate due to the extremely low duty cycle required for steady state operation. A transformer coupled topology may be required to step the voltage down to a more manageable level. Transformer coupled topologies can also provide isolation between the input and output as may be required in some applications.

The simplest transformer coupled topology is the Flyback converter. Depending on the application requirements, the converter can be isolated or not. Figure 9 shows a non-isolated configuration where the primary and secondary grounds are common, and the control loop has no isolation component.

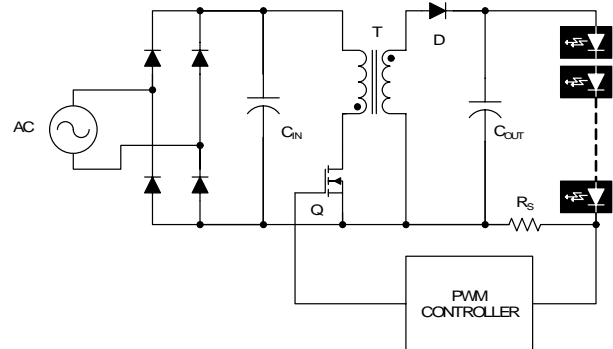


FIGURE 9. FLYBACK CONVERTER LED BALLAST

The Flyback converter operates in a similar fashion as the Boost and SEPIC converters discussed earlier. Like those topologies, the main considerations are to operate at a nearly constant duty cycle and in Discontinuous Conduction Mode (DCM).

DCM operation must be confirmed for peak minimum input voltage ($V_{RMS(min)} \times \sqrt{2}$), maximum output current, and minimum output voltage. Given a constant load and output voltage, the average input voltage will determine the duty cycle. The maximum duty cycle occurs at the minimum average input voltage. Since power transfer from the primary to the secondary tracks the magnitude of the instantaneous AC voltage, the power transferred to the secondary is less than required when the instantaneous voltage is less than the average value for an AC cycle, and more than is required when the instantaneous voltage is greater than the average voltage. The highest currents occur when the instantaneous voltage reaches its maximum ($V_{RMS(min)} \times \sqrt{2}$). It is at this operating condition that DCM operation must be maintained in order to achieve acceptable power factor. Even though the duty cycle is determined by the average input voltage, the designer must establish DCM operation at the instantaneous peak input voltage.

The transformer design is the critical component in achieving the desired performance. The primary inductance, the secondary inductance, and the energy storage capability of the core structure must all be considered in the design. These are the same considerations present in any DCM flyback design, except it is complicated by the time-varying nature of the rectified AC input voltage.

The following discussion assumes that the output power, P_O , the maximum desired operating flux density, B_{max} , the maximum duty cycle, D_{MAX} , and the switching frequency, f , are pre-established quantities. The first step is to determine the energy storage requirement of the transformer core.

$$\Delta W = \frac{P_O}{\eta \cdot f} \quad (\text{EQ. 37})$$

where ΔW is the energy stored in the core structure in joules, P_O is the output power, η is the expected conversion efficiency, and f is the switching frequency of the converter. The output current, I_O , can be expressed using Equation 38:

$$I_O = \frac{P_O}{\eta \cdot V_O} \quad (\text{EQ. 38})$$

where V_O is the output voltage. The efficiency, η , is included in Equation 38 to approximate the equivalent output current the converter must process. I_O is the (equivalent) average output current that must be delivered to the load under the worst case operating conditions while operating in DCM. It is also the average current flowing in the secondary of the transformer. The desired operating behavior is to have the switching cycle terminate just as the current in the secondary winding becomes discontinuous. Furthermore,

this condition must occur when operating at maximum duty cycle (minimum V_{RMS}) while the input AC voltage is at the peak of its sinusoidal waveform. Equation 39 defines the change in secondary current, $\Delta I_S(\min)$, that must occur to maintain DCM operation.

$$\Delta I_S(\min) = \frac{\pi \cdot I_O}{1 - D_{max}} \quad (\text{EQ. 39})$$

where D_{max} is the duty cycle that occurs at the minimum input RMS input voltage, and I_O is the average output current. $\Delta I_S(\min)$ is scaled by $\pi/2$ because the duty cycle is determined by the average input voltage, not the peak.

The maximum secondary inductance, L_S , that allows the current to completely decay during the off time for DCM operation is shown in Equation 40:

$$L_S = \frac{V_O(1 - D_{MAX})}{f \cdot \Delta I_S(\min)} = \frac{V_O(1 - D_{MAX})^2}{f \cdot \pi \cdot I_O} \quad (\text{EQ. 40})$$

The transformer turns ratio, $N_S/N_P = N_{s/p}$, may be calculated as follows.

$$N_{s/p} = \frac{V_O(1 - D_{MAX})}{V_{IN(\min, pk)} D_{MAX}} \quad (\text{EQ. 41})$$

where $V_{IN(\min, pk)}$ is the peak value of the minimum AC voltage input.

The primary inductance, L_P , is easily calculated from the turns ratio and secondary inductance value.

$$L_P = \frac{L_S}{N_{s/p}^2} \quad (\text{EQ. 42})$$

The peak secondary current during a switching cycle is:

$$I_{S, peak} = \frac{V_{IN(\min, pk)} \cdot D_{MAX}}{f \cdot L_P \cdot N_{s/p}} = \frac{V_O \cdot (1 - D_{MAX})}{f \cdot L_S} \quad (\text{EQ. 43})$$

Up to this point, the design procedure has been independent of the core geometry and material characteristics. To proceed, these parameters must be considered. For this discussion, a E-E core with an effective cross-sectional area A_e and having discrete air gap l_g will be considered. Furthermore, the core has a residual flux density of B_r , and a recommended maximum flux density of B_{max} . The number of secondary turns, N_S , is expressed in Equation 44:

$$N_S = \frac{I_{S, peak} \cdot L_S}{A_e \cdot (B_{max} - B_r)} = \frac{V_O \cdot (1 - D_{MAX})}{f \cdot A_e \cdot (B_{max} - B_r)} \quad (\text{EQ. 44})$$

The required air gap in the core, l_g , can be found using Equation 45:

$$l_g = \frac{\mu_0 \cdot A_e \cdot N_S^2}{L_S} \quad (\text{EQ. 45})$$

where μ_0 is the permeability of free space ($4\pi \cdot 10^{-7}$) and the result is in meters (mks units). The number of primary turns can be found using Equation 46.

$$N_P = \frac{N_S}{N_{s/p}} \quad (\text{EQ. 46})$$

The capacity of the core to store sufficient energy needs to be verified. Since all of the stored energy occurs in the air gap, the volume of the air gap determines the energy storage capacity.

$$\frac{A_e \cdot l_g}{\mu_0} > \frac{2 \cdot \Delta w}{(B_{\max} - B_r)^2} \quad (\text{EQ. 47})$$

where Δw is defined in Equation 37. If the inequality of Equation 47 is not satisfied, the air gap must be increased, a core with a larger cross-sectional area must be used, or the maximum flux density, B_{\max} , must be increased.

The worst case RMS winding currents are expressed in Equations 48 and 49:

$$I_{P,rms} = \frac{2 V_{IN(\min,pk)}}{\pi f \cdot L_P} \sqrt{\frac{1}{3} \left(\frac{V_O}{N_{s/p} \cdot V_{IN(\min,pk)} + V_O} \right)^3} \quad (\text{EQ. 48})$$

$$I_{S,rms} = \frac{2 V_{IN(\min,pk)}}{\pi f \cdot L_P \cdot N_{s/p}} \frac{V_O}{(N_{s/p} \cdot V_{IN(\min,pk)} + V_O)} \cdot \sqrt{\frac{1}{3} \left(1 - \frac{V_O}{(N_{s/p} \cdot V_{IN(\min,pk)} + V_O)} \right)} \quad (\text{EQ. 49})$$

The output current, I_{OUT} , is equal to the average current in the secondary winding of the transformer. Since current only flows in the secondary winding during T_{OFF1} , the output current can be expressed as:

$$I_{OUT} = \frac{V_O \cdot T_{OFF1}^2}{2T_S \cdot L_S} \quad (\text{EQ. 50})$$

Solving for T_{OFF1} yields:

$$T_{OFF1} = \sqrt{\frac{2I_O \cdot T_S \cdot L_S}{V_O}} \quad (\text{EQ. 51})$$

The change in current, ΔI , during T_{ON} and T_{OFF1} scaled by the turns ratio must be equal. Equating the change in amp turns ($\Delta I \cdot N_P = \Delta I \cdot N_S$) during T_{ON} and T_{OFF1} , respectively, and solving for T_{ON} yields:

$$T_{ON} = \frac{V_O \cdot N_P}{V_{IN} \cdot N_S} \cdot T_{OFF1} \quad (\text{EQ. 52})$$

where \bar{V}_{IN} is the average input voltage. Equations 51 and 52 represent the average values of T_{OFF1} and T_{ON} , respectively. While T_{ON} is essentially constant during an AC half-cycle, the instantaneous value of T_{OFF1} will vary

considerably over the same time period. The instantaneous value of T_{OFF1} , $T_{OFF1'}$, may be calculated from Equation 53.

$$T_{OFF1'} = \frac{N_S}{N_P} \cdot \frac{V_{IN'}}{V_O} T_{ON} \quad (\text{EQ. 53})$$

where $V_{IN'}$ is the instantaneous value of the input voltage.

The Output Capacitor

Each of these converters operates from the AC mains with high power factor. Power delivery from the AC mains is sinusoidal and at a low frequency, so either the power to the load must be delivered as received from the source or there must be a provision to store the energy in the converter. The output capacitor performs this function. It not only filters the converter switching currents, but must also provide sufficient energy storage to maintain the output during the AC nodes with an acceptable level of ripple voltage. The allowed ripple voltage is determined by how much ripple current is acceptable in the LED load. Due to the non-linear behavior of the LED diode junction, the ripple current will be higher than might be expected from a given ripple voltage. Although higher ripple currents, even as much as 50-70%, do not produce observable flicker, some LED manufacturers suggest limiting the amount of ripple current to keep peak currents within ratings. Ultimately, the designer must evaluate the trade-offs between capacitance value and ripple current.

The Control Loop

Figures 12, 13, and 14 show detailed schematics for boost, SEPIC, and flyback converters, respectively. These converters are based on the Intersil ISL6745 double-ended PWM controller. The control loop is not topology dependent. The same loop configuration can be used in virtually all off-line AC LED applications. It consists of an operational amplifier in a low bandwidth integrator configuration. Referring to Figure 10, resistor R_S converts the LED current into a voltage that is compared to V_{REF} . The operational amplifier integrates the difference and creates an error voltage output used to control the PWM.

The critical requirement for the control loop is that its bandwidth be limited to about 30Hz. The crossover frequency, f_C of the control loop is shown in Equation 54:

$$f_C = \frac{1}{2\pi RC_{FB}} \quad (\text{EQ. 54})$$

Setting f_C equal to 30Hz and solving for the RC time constant τ yields Equation 55:

$$\tau = RC_{FB} = \frac{1}{60\pi} \quad (\text{EQ. 55})$$

For example, selecting $C_{FB} = 0.1\mu\text{F}$, yields a value of 53k Ω for R.

The LED current is set by V_{REF} and R_S , but the current may also be dynamically modulated using the I_{ADJ} input to vary the reference setpoint. I_{ADJ} is typically used to vary the intensity or color temperature.

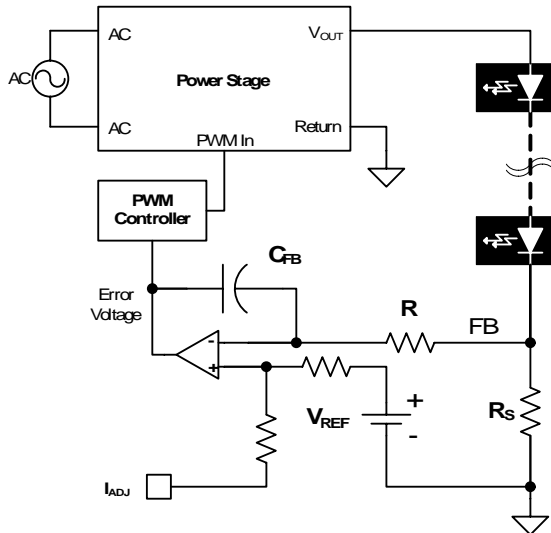


FIGURE 10. INTEGRATING CONTROL LOOP

Using Multiple Parallel LED Strings

The previous discussion centered on a single string of LEDs in series for the sake of clarity. For many applications, a single string may be acceptable, but other applications may require more light intensity than can be practically delivered by a single string of LEDs. In these applications, additional LEDs strings may be added in parallel.

Since the LED driver provides a single output, it cannot control the current through each LED string. Unless there is a mechanism to force equal currents through each LED string, the currents will not be balanced. The magnitude of the imbalance depends on the cumulative variation of forward voltage drop across each LED in the string. If the forward voltage distribution for each LED is random, then the differences in the cumulative variation among the LED strings tends to zero out as the number of LEDs in each string increases.

The forward voltage drop across each LED may be represented as a temperature dependent voltage in series with a resistor. Fortunately, the resistance is rather large for the LEDs under consideration. CREE XLamp 7090 LEDs, for example, has an equivalent series resistance of approximately 1.9Ω . The resistance tends to linearize the V-I relationship of the LED so that variations in the voltage drop due to temperature and process variation have a reduced impact. Adding a discrete series resistor in each LED string will further enhance current balance, but at the expense of increased power dissipation.

However, depending on the application, just paralleling LED strings may cause unacceptable color temperature and/or intensity variation among the LED strings. Additional circuitry to force current balancing may be required.

Figure 11 shows a technique of paralleling LED strings with current balancing. The method consists of a master LED string and multiple slave strings in parallel. The LED driver control loop regulates the current in the master LED string, and the slave LED strings are individually regulated to match the current through the master LED string. Diode D is present only in the master LED string so that the linear pass elements, Q, in the slave LED strings have sufficient voltage across them to allow regulation. Alternatively, an extra LED in the master string accomplishes the same result.

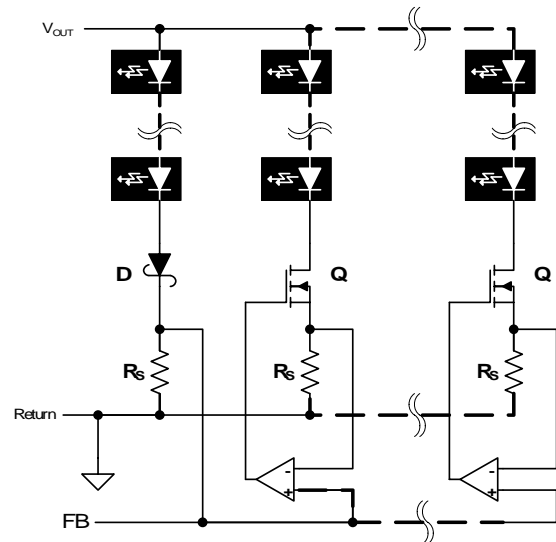


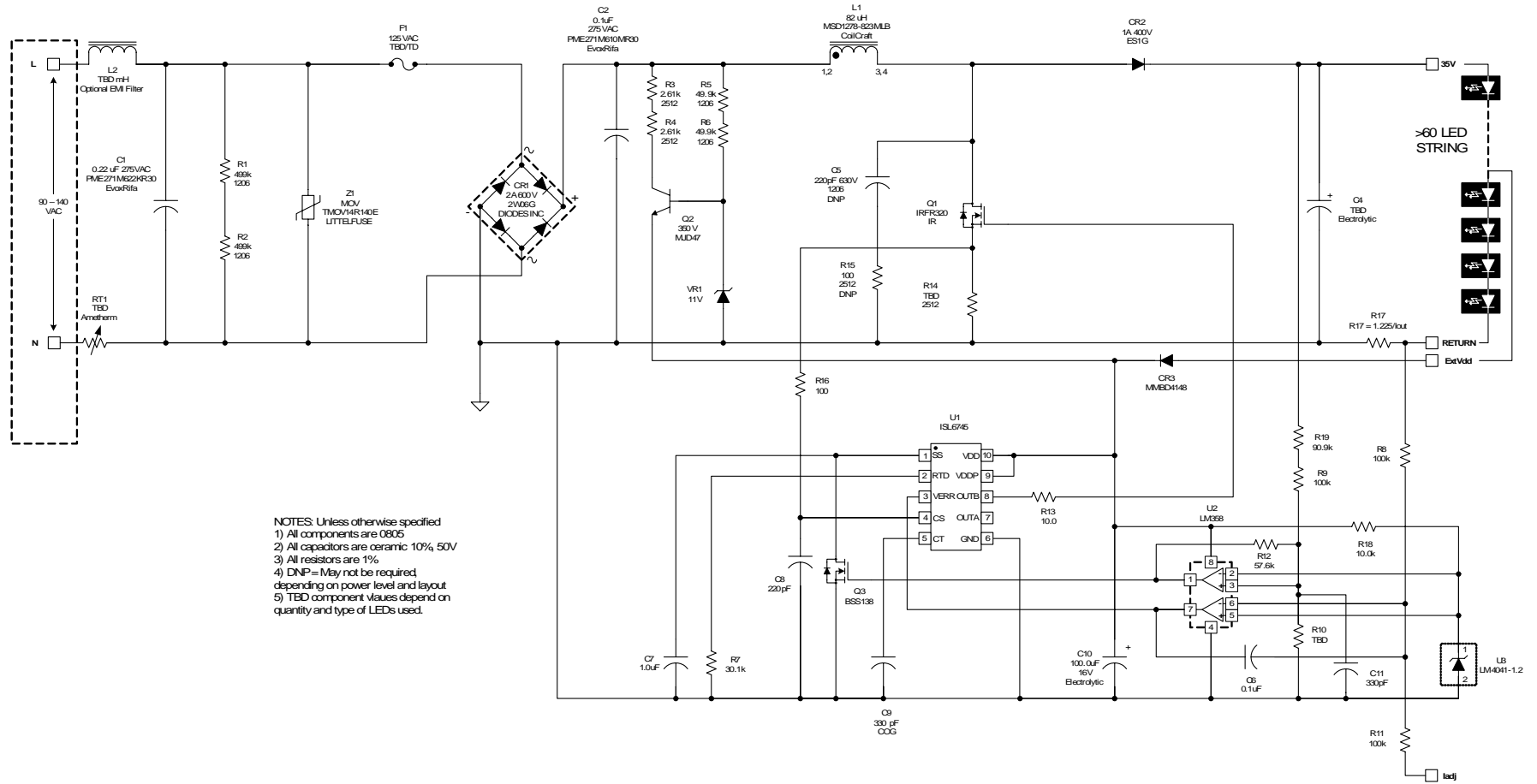
FIGURE 11. PARALLEL LED STRINGS WITH CURRENT BALANCING

In some applications the number or type of LEDs in each string may not be the same. In this case, having a common supply voltage for each string may result in excessive dissipation in the linear pass elements. The solution is to provide different supply voltages to each string by providing winding taps on the transformer or inductor. Alternatively, each string can be controlled by a separate switching regulator.

References

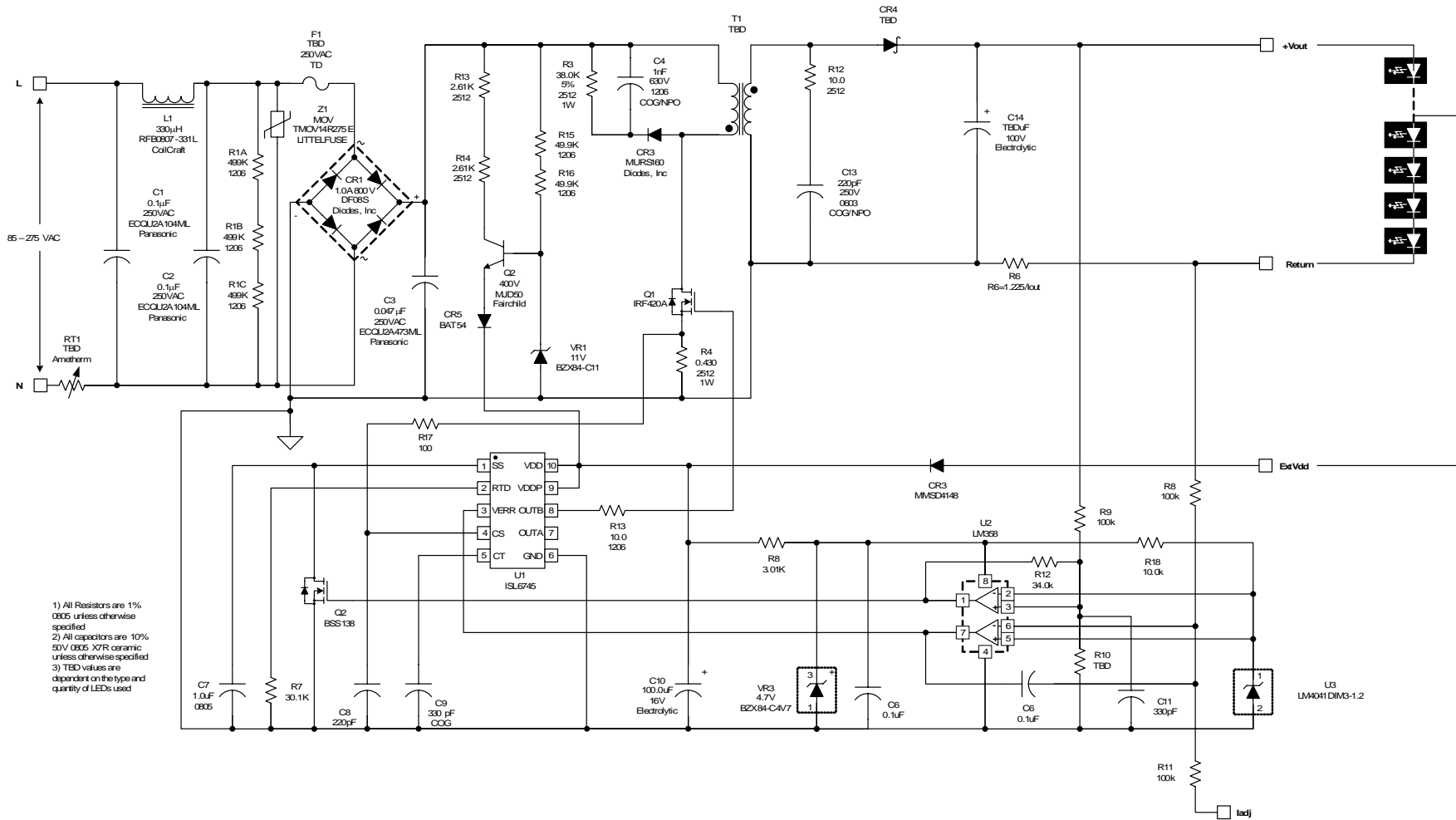
1. "CREE Achieves Highest Efficacy from a High-Power LED", CREE Press Release, September 13, 2007.
2. "Maximize Your Profit Potential with Sylvania HID Lamps. High Intensity Discharge Lighting", OSRAM Sylvania Product Information Bulletin CP103R3E, October 2007.

Application Circuits



NOTES: Unless otherwise specified
 1) All components are 0805
 2) All capacitors are ceramic 10% 50V
 3) All resistors are 1%
 4) DNP= May not be required, depending on power level and layout
 5) TBD component values depend on quantity and type of LEDs used.

FIGURE 12. DETAILED BOOST CONVERTER SCHEMATIC



- 1) All Resistors are 1% 0805 unless otherwise specified
- 2) All capacitors are 10% 50V 0805 X7R ceramic unless otherwise specified
- 3) TED values are dependent on the type and quantity of LEDs used

FIGURE 14. DETAILED FLYBACK CONVERTER SCHEMATIC

Intersil Corporation reserves the right to make changes in circuit design, software and/or specifications at any time without notice. Accordingly, the reader is cautioned to verify that the Application Note or Technical Brief is current before proceeding.

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